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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Walker et al.

Application No.: 09/852,239

Filed: May 9, 2001

For: SYSTEMS AND METHODS TO  
FACILITATE GAMES OF SKILL  
FOR PRIZES PLAYED VIA A  
COMMUNICATION NETWORK



Group Art Unit: 2131

Examiner: Not Yet Assigned

Attorney Docket No.: 01-014



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APR 09 2002  
Technology Center 2100

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as priority mail in an envelope with sufficient postage and addressed to: Office of Initial Patent Examination Customer Service Center, Assistant Commissioner for Patents, Washington, D.C. 20231, on December 14, 2001.

Dated: 12/14/2001. By:

  
Veronika S. Leliever

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

## REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Applicants respectfully request that the filing receipt for the above-identified patent application be corrected to reflect the following:

1. Please correct the Priority Data as claimed by applicant to read:

**THIS APPLICATION IS A CIP OF 08/561,668 11/22/1995 PAT 5,768,382**

**AND IS A CIP OF 08/624,998 03/29/1996 PAT 5,871,398**

**WHICH IS A CIP OF 08/497,080 06/30/1995 ABN**

**AND IS A CIP OF 08/677,544 08/08/1996 PAT 5,970,143**

PATENT



Attorney Docket No. 01-014  
Application Serial No. 09/852,239

WHICH IS A CIP OF 08/561,668 11/22/1995 PAT 5,768,382  
AND IS A CIP OF 08/635,576 04/22/1996 PAT 5,779,549.

The requested corrections have been indicated in red on the enclosed copy of the official Filing Receipt.

In support of this request, applicants have enclosed a copy of the Utility Patent Application Transmittal filed with the patent application on May 9, 2001, which clearly indicates the priority data as claimed by applicants. Please issue a corrected Filing Receipt in due course.

Applicants believe no fee is due. Please charge any fees that may be required for this Request, or credit any overpayment to Deposit Account No. 50-0271. Order number 01-015. The Assistant Commissioner is further authorized to charge any additional fees which may be required for the submission of this paper, or credit any overpayment to Deposit Account No. 50-0271.

Furthermore, should an extension of time be required, please grant any extension of time which may be required to make this submission timely, and charge any fee for such an extension to Deposit Account No. 50-0271. A duplicate copy of this authorization is enclosed for such purposes.

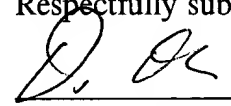
December 14, 2001  
Date

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Respectfully submitted,

  
\_\_\_\_\_  
Dean P. Alderucci  
Attorney for Applicants  
PTO Reg. No. 40,484  
Walker Digital Corporation  
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(203) 461-7337 /phone  
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**COPY**

01-014



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/852,239	05/09/2001	2131	920	01-014	11	14	4

22927  
WALKER DIGITAL  
FIVE HIGH RIDGE PARK  
STAMFORD, CT 06905



CONFIRMATION NO. 4078  
UPDATED FILING RECEIPT



\*OC00000006874704\*

Date Mailed: 10/09/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Jay S. Walker, Ridgefield, CT;  
James A. Jorasch, Stamford, CT;

**Domestic Priority data as claimed by applicant**

THIS APPLICATION IS A CIP OF 08/561,668 11/22/1995 PAT 5,768,382  
~~AND IS AND~~ A CIP OF 08/624,998 03/29/1996 PAT 5,871,398  
WHICH IS A CIP OF 08/497,080 06/30/1995 ABN  
~~AND IS AND~~ A CIP OF 08/677,544 08/08/1996 PAT 5,970,143  
WHICH IS A CIP OF 08/561,668 11/22/1995 PAT 5,768,382  
~~AND IS AND~~ A CIP OF 08/635,576 04/22/1996 PAT 5,779,549

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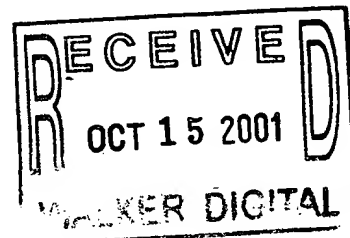
**Foreign Applications**

If Required, Foreign Filing License Granted 07/03/2001

Projected Publication Date: 01/17/2002

Non-Publication Request: No

Early Publication Request: No

**Title**

Systems and methods to facilitate games of skill for prizes played via a communication network

Preliminary Class  
380

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

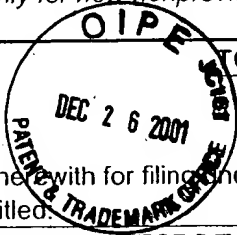
**COPY****UTILITY PATENT APPLICATION TRANSMITTAL  
(Large Entity)***(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Docket No.

01-014

Total Pages in this Submission

37



TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application

Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**SYSTEMS AND METHODS TO FACILITATE GAMES OF SKILL FOR PRIZES PLAYED VIA A  
COMMUNICATION NETWORK**

**RECEIVED**

and invented by:

Jay S. WALKER, and James A. JORASCH

**APR 09 2002****Technology Center 2100**If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 08/561,668

And is a:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 08/624,998

Which is a:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 08/497,080

Enclosed are:

**Application Elements**

1. ☐ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 26 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☒ Cross References to Related Applications *(if applicable)*
  - c. ☐ Statement Regarding Federally-sponsored Research/Development *(if applicable)*
  - d. ☐ Reference to Microfiche Appendix *(if applicable)*
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings *(if drawings filed)*
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

Continued from Page 1

CONTINUED DATA OF RELATED APPLICATIONS:

	<u>Application Serial No.</u>	<u>Date of Filing</u>	<u>Date of Patent Issued</u>	<u>Patent Number</u>
And is a continuation-in-part of	08/677,544	August 8, 1996	October 19, 1999	5,970,143
Which is a continuation-in-part of	08/561,668	November 22, 1995	June 16, 1998	5,768,382
And is a continuation-in-part of	08/635,576	April 22, 1996	July 14, 1998	5,779,549

# UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
01-014

Total Pages in this Submission  
37

## Application Elements (Continued)

3. ☒ Drawing(s) (when necessary as prescribed by 35 USC 113)
- a. ☒ Formal                      Number of Sheets 11
- b. ☐ Informal                      Number of Sheets \_\_\_\_\_
4. ☐ Oath or Declaration
- a. ☐ Newly executed (original or copy)                      ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- c. ☐ With Power of Attorney                      ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer Program in Microfiche (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy (identical to computer copy)
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

## Accompanying Application Parts

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(B) Statement (when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement/PTO-1449                      ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class                      ☒ Express Mail (Specify Label No.): EL750066105US

**UTILITY PATENT APPLICATION TRANSMITTAL  
(Large Entity)**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Docket No.

01-014

Total Pages in this Submission

37

**Accompanying Application Parts (Continued)**

15. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*

16. ☒ Additional Enclosures *(please identify below):*

Page 2 of 5 - Continuation of Page 1

"Continued Data of Related Applications"

**Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)**

17. ☐ Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

**Warning**

***An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.***



# UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
01-014

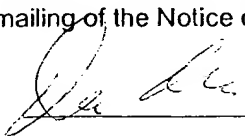
Total Pages in this Submission  
37

## Fee Calculation and Transmittal

### CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	14	- 20 =	0	x \$18.00	\$0.00
Indep. Claims	4	- 3 =	1	x \$80.00	\$80.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$710.00
OTHER FEE (specify purpose) _____					\$0.00
TOTAL FILING FEE					\$790.00

- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. **50-0271** as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of **\$790.00** as filing fee.
  - ☒ Credit any overpayment.
  - ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
  - ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).



Signature

Dean P. Alderucci  
Attorney for Applicants  
PTO Registration No. 40,484  
203.461.7337/phone  
203.461.7300/fax

Dated: May 9, 2001



CC:

22927

PATENT TRADEMARK OFFICE

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RECEIPT

**TRANSMITTAL LETTER**  
(General - Patent Pending)

Docket No.  
01-014

In Re Application Of: **DAVE WALKER et al.**

Serial No.  
09/852,239

Filing Date  
May 9, 2001

Examiner  
Not Yet Assigned

Group Art Unit  
2131

Title: **SYSTEMS AND METHODS TO FACILITATE GAMES OF SKILL FOR PRIZES PLAYED VIA A COMMUNICATION NETWORK**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Request for Corrected Filing Receipt, 2 pg., (x2);  
Red-lined copy of Official Filing Receipt, 2 pp.;  
Copy of Utility Patent Application Transmittal, 5 pp.; and  
Return Receipt Postcard.

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**APR 09 2002**

**Technology Center 2100**

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **50-0271** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.



*Signature*

Dated: **December 14, 2001**

**Dean P. Alderucci**  
Attorney for Applicants  
PTO Registration No. 40,484  
203.461.7337/phone  
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Customer No. 22927

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CC:

I certify that this document and fee is being deposited  
~~December~~ **December 14, 2001** with the U.S. Postal Service as  
first class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

  
*Signature of Person Mailing Correspondence*

**Veronika S. Leliever**

*Typed or Printed Name of Person Mailing Correspondence*